



#4

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Wendi V. RODRIGUEZA, Kevin Jon WILLIAMS, and Michael J. HOPE

Serial No.: 09/924,222

Art Unit: 1615

Filed: August 7, 2001

Examiner: Unknown

For: LIPOSOMAL COMPOSITIONS, AND METHODS OF USING LIPOSOMAL COMPOSITIONS TO TREAT DISLIPIDEMIAS

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**DECLARATION OF JOINT INVENTORS ON BEHALF OF A NONSIGNING  
INVENTOR WHO REFUSES TO SIGN UNDER 37 C.F.R. § 1.47(a)**

1. I am a joint inventor of the above-identified application.
2. Kevin Jon Williams, a joint inventor of the above-identified application, a United

States citizen, whose last known addresses are:

425 Wister Road  
Wynnewood, PA 19096  
USA

and

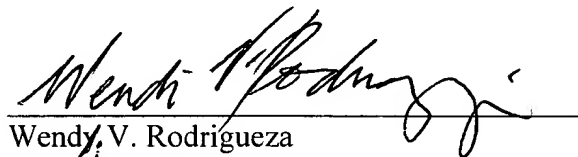
Division of Endocrinology, Diabetes &  
Metabolic Diseases  
Thomas Jefferson University  
1020 Locust Street, Suite 348  
Philadelphia, PA 19107-6799  
USA

has refused to sign a Declaration for the above-identified application.

U.S.S.N. 09/924,222  
Filed: August 7, 2001  
**DECLARATION OF JOINT INVENTORS ON  
BEHALF OF A NONSIGNING INVENTOR WHO  
REFUSES TO SIGN UNDER 37 C.F.R. § 1.47(a)**

3. I have signed a declaration for the above-identified application on my own behalf, and  
I also sign this Declaration for the above-identified application on behalf of Kevin Jon Williams,  
a nonsigning joint invnetor.

Date March 11th 2002

  
Wendy V. Rodriguez  
WR

Date \_\_\_\_\_

\_\_\_\_\_  
Michael J. Hope

ATL1 #503130 v1



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PTO/SB/01 (10-00)  
Approved for use through 10/31/2002. OMB 0651-0032

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<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)</b>  <input type="checkbox"/> Declaration Submitted with Initial Filing      OR <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)	<b>Attorney Docket Number</b>	ESPN 9004 CIP
	<b>First Named Inventor</b>	Wendi V. Rodriguez
	<b>COMPLETE IF KNOWN</b>	
	<b>Application Number</b>	09 / 924,222
	<b>Filing Date</b>	August 7, 2001
	<b>Group Art Unit</b>	1615
	<b>Examiner Name</b>	

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As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**LIPOSOMAL COMPOSITIONS, AND METHODS OF USING LIPOSOMAL  
COMPOSITIONS TO TREAT DISLIPIDEMIAS**

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 08/07/2001

as United States Application Number or PCT International

(if applicable).

Application Number 09/924,222

and was amended on (MM/DD/YYYY)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES NO
			<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.
60/005,090	10/11/1995	

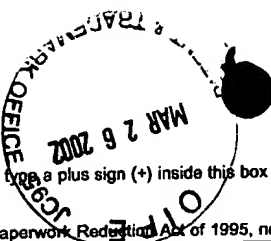
[Page 1 of 2]

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ESPN 9004 CIP

078231/00001

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## DECLARATION — Utility or Design Patent Application

Direct all correspondence to: ☐ Customer Number or Bar Code Label  OR ☒ Correspondence address below

Name Patrea L. Pabst; Holland & Knight LLP

Address Suite 2000, One Atlantic Center

Address 1201 West Peachtree Street, N.E.

City Atlanta

State GA

ZIP 30309-3400

Country USA

Telephone (404) 817-8473

Fax (404) 817-8588

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR :

☐ A petition has been filed for this unsigned inventor

Given Name Wendi V.  
(first and middle [if any])

Family Name Rodriguez  
or Surname

Inventor's  
Signature

*Wendi V. Rodriguez*

Sept 27th 2001  
Date

Residence: City Ann Arbor

State MI

Country USA

Citizenship CA

Mailing Address 2148 Vail Court

Mailing Address

City Ann Arbor

State Michigan

ZIP 48108

Country USA

NAME OF SECOND INVENTOR:

☒ A petition has been filed for this unsigned inventor

Given Name Kevin Jon  
(first and middle [if any])

Family Name Williams  
or Surname

Inventor's  
Signature

Date

Residence: City Wynnwood

State PA

Country USA

Citizenship US

Mailing Address 425 Wister Road

Mailing Address

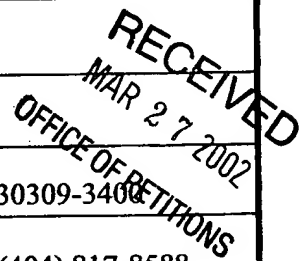
City Wynnwood

State Pennsylvania

ZIP 19096

Country USA

☒ Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Wendi V. RODRIGUEZA, Kevin Jon WILLIAMS, and Michael J. HOPE

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Date \_\_\_\_\_

\_\_\_\_\_  
Wendy V. Rodriguez

Date March 11<sup>th</sup>, 2002

M. J. Hope  
Michael J. Hope

ATL1 #503130 v1

Title: LIPOSOMAL COMPOSITIONS, AND METHODS OF USING  
LIPOSOMAL COMPOSITIONS TO TREAT HIV INFECTIONS

Filed: August 7, 2001

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MAR 26 2002

PTO/SB/02A (11-00)

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## DECLARATION

### ADDITIONAL INVENTOR(S)

Supplemental Sheet

Page 1 of 1

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Michael J.		Hope	
Inventor's Signature <i>M. J. Hope</i>		Date Oct. 19 <sup>th</sup> , 2001	
Residence: City	Vancouver	State	British Columbia
Country	CANADA	Citizenship	CA
Mailing Address 3550 West 11th Avenue			
Mailing Address			
City	Vancouver	State	British Columbia
ZIP	V6R 2K2	Country	CANADA
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Inventor's Signature		Date	
Residence: City		State	
Country		Citizenship	
Mailing Address			
Mailing Address			
City		State	
ZIP		Country	
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Inventor's Signature		Date	
Residence: City		State	
Country		Citizenship	
Mailing Address			
Mailing Address			
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